

To: All Members of the Executive
(Other Members for Information)

When calling please ask for:

Emma McQuillan, Democratic Services Manager

Policy and Governance

E-mail: emma.mcquillan@waverley.gov.uk

Direct line: 01483 523351

Calls may be recorded for training or monitoring

Date: 2 February 2018

Dear Councillors

EXECUTIVE - 6 FEBRUARY 2018

I refer to the agenda for the Executive, on Tuesday, 6 February 2018 and now enclose the comments from the Overview and Scrutiny Committees and the other two items which were marked To Follow in your agenda papers:

- Comments from the Overview and Scrutiny Committees on the following items:
 - Item 5: Medium Term Financial Plan 2018/19-2020/21: General Fund Budget 2018/19
 - Item 6: Housing Revenue Account Business Plan, Revenue Budget and Capital Programme 2018/19
 - Item 11: Performance Management report Q2 2017/18 (July-September 2017)
- Item 17: Review of the Scheme of Delegation
- Item 20: Waste Street Cleaning and Grounds Maintenance Contract Procurement

Yours sincerely

Emma McQuillan
Democratic Services Manager

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EXECUTIVE – 6 FEBRUARY 2018

OBSERVATIONS FROM OVERVIEW AND SCRUTINY COMMITTEES

The observations from the Overview and Scrutiny Committees on the items listed are set out below.

5. MEDIUM TERM FINANCIAL PLAN 2018/19 – 2020/21: GENERAL FUND BUDGET 2018/19

i. Value for Money and Customer Service O and S

The Committee thanked officers and Portfolio Holders for their hard work in delivering a balanced budget for 2018/19 and made the following comments and recommendations.

The Committee noted that it was proposed that authority to set Building Control fees would be delegated to the Strategic Director in consultation with the Planning Portfolio Holder. Officers explained that this was due to the extremely competitive market in which the Building Control Service operated, and therefore there was a need to be flexible and able to respond quickly to changing circumstances. Members accepted this rationale, however recommended that the fees be reported once they had been agreed.

In relation to fees and charges, the Committee suggested that it would be more meaningful if the document set out how much income was generated from each service, thus enabling Members to see the impact of fee increases.

The Committee remained concerned about the projected shortfall in the years 2019/20 and 2020/21, highlighting the importance of ensuring that adequate resources were in place to progress a longer term strategy. Members specifically raised the impact of the negative Government grant in 2019/20 and recommended that the Executive continue to engage with local MPs to have this removed.

The Committee considered the potential impact of the Homelessness Act and whether a contingency budget should be put in place. Members concluded that this was not required at the current time, as the impact would be difficult to quantify, but recommended that the Executive be made aware of this potential risk and that the situation continue to be monitored.

ii. Community Wellbeing O and S

The Committee agreed that the Council should write to the Government about the poor funding received by Surrey Councils which put services at risk.

iii. Environment O and S

The Committee endorsed the recommendations to the Executive and Council. The Chairman asked that the Executive outline what alternatives had been considered to the proposed 2.99% increase in Waverley's Council Tax.

iv. Housing O and S

The Committee endorsed the recommendations to the Executive and Council.

6. HOUSING REVENUE ACCOUNT BUSINESS PLAN, REVENUE BUDGET AND CAPITAL PROGRAMME 2018/19

This report was considered at the Housing Overview and Scrutiny Committee on 30 January 2018 and the following comments were made:-

The Committee was pleased to see the prudent approach to budgeting and endorsed the recommendations to the Executive and Council.

11. PERFORMANCE MANAGEMENT REPORT Q2 2017/18 (JULY – SEPTEMBER 2017)

i. Value for Money and Customer Service O and S

The Committee received the performance report, which was now presented on an exception basis. This highlighted that only one indicator had exceeded target by more than 5% during Quarter 2. Having reviewed the revised complaints handling policy earlier in the meeting, the Committee endorsed the proposed changes to the complaints performance indicators to coincide with the adoption of the updated policy and procedure.

ii. Community Wellbeing O and S

The Committee recommended that the proposal to reduce the target of 140,000 to 130,000 for PI CS2 'the number of visitors to Farnham Leisure Centre' be included in an overall review of leisure centre performance indicators to be reported back to the March meeting.

iii. Environment O and S

The Committee noted the generally good performance across Environmental Services and Planning.

The Committee considered at length the proposed change to the target of the E4 (missed bins) indicator, from 26/104,000 collections to 50/104,000. Whilst recognising that this would bring the indicator in line with the contractual performance level at which penalties could be

imposed on the contractor, the Committee was reluctant to recommend setting a 'target' that was worse than the current performance.

The Committee agreed that 50 missed bins was still only a very small percentage of the number collected each week, and the amount of client management time required to achieve a marginal improvement in performance probably did not translate into an equivalent improvement in customer satisfaction. However, as a compromise the Committee agreed to recommend to the Executive a new target of 40 missed bins per 104,000.

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EXECUTIVE – 6 FEBRUARY 2018

COMMENTS FROM THE STANDARDS PANEL – 29 JANUARY 2018

The Standards Panel, at its meeting on 29 January 2018, reviewed the Scheme of Delegation and further amendments proposed which had been identified in the first eight months of operation of the scheme.

The Panel discussed feedback from members about the process for being able to call-in planning applications for consideration by a Planning Committee and revised wording is set out below in respect of this. This wording also incorporates the provisions which are already set out in the Constitution relating to those applications which will be determined by a Joint Planning Committee - and the Standards Panel agreed that this provision should be replicated in both documents for clarity.

The proposed changes are shown as tracked changes in Annexe 1 below.

Recommendation

It is recommended to the Council that the Scheme of Delegation, as amended and attached as Annexe 1, be approved and adopted.

SCHEME OF DELEGATION OF FUNCTIONS TO OFFICERS

- 1. General Principles**
- 2. Statutory Officers**
 - 2.1 Head of Paid Service
 - 2.2 Returning Officer and Electoral Registration Officer
 - 2.3 Monitoring Officer
 - 2.4 Chief Finance Officer – ‘Section 151 Officer’
- 3. Chief Executive**
- 4. Head of Community Services and Major Projects**
- 5. Head of Customer and Corporate Services**
- 6. Head of Environmental Services**
- 7. Head of Finance**
- 8. Head of Housing Operations**
- 9. Head of Planning Services**
- 10. Head of Policy and Governance**
- 11. Head of Strategic Housing and Delivery**
- 12. Borough Solicitor**

SCHEME OF DELEGATION OF FUNCTIONS TO OFFICERS

General Principles

1. Powers

- 1.1 This Scheme of Delegation is made pursuant to the Local Government Act 1972 Section 101 (and by reference to Section 100G) and the Local Government Act 2000 Section 15 and The Local Authorities (Functions and Responsibilities) (England) Regulations 2000/2853 (as amended) and all other enabling powers.
- 1.2 The powers in this Scheme of Delegation are only exercisable within the limitations in this Scheme and are subject to the provisions of the Council's Constitution, including the Financial Regulations and the Contract Procedure Rules.
- 1.3 Where the Council, a Committee, Sub-Committee, the Leader, the Executive, a Portfolio Holder or this Scheme of Delegation gives authority for any action, the officer designated shall be entitled to take all necessary steps to do so.
- 1.4 In the event that a Strategic Director or a Head of Service's post ceases to exist or his or her responsibilities are transferred to another officer (as the case may be), temporarily or permanently, then the powers given by this Scheme of Delegation shall be exercisable by the officer in whose area of responsibility the power falls to be exercised.
- 1.5 It is in the nature of any scheme of delegation that those to whom responsibility has been delegated for a particular function, action or decision may require the delegating authority to resume responsibility for that function, action or decision.
- 1.6 Any Head of Service may seek permission to submit a planning application for development to be carried out by the Council (Regulation 3) and for development to be carried out by other parties on land vested in the Council (Regulation 4).

2. Exercise of Functions

- 2.1 All decisions by officers made under this Scheme of Delegation, may only be exercised provided they are within budgetary provision and in accordance with proper authorities, in particular the Financial Regulations.
- 2.2 The Chief Executive may appoint one or more deputies to exercise his or her functions owing to his or her absence or illness.
- 2.3 The Chief Executive may exercise the powers delegated to any Strategic Director, Head of Service or the Borough Solicitor except in relation to those functions allocated to the Chief Finance Officer (within the meaning of Section 151 of the Local Government Act 1972 and Section 112-114A of the Local

Government Finance Act 1988) and to the Monitoring Officer (within the meaning of Sections 5, 5A of the Local Government and Housing Act 1989).

3. Sub-Delegation Scheme

3.1 Where the Chief Executive, Strategic Directors, ~~any~~ Heads of Service or the Borough Solicitor are authorised to take decisions, action to implement such decisions will be taken.

3.1.1 in the name of (but not necessarily personally by) the Chief Executive, Strategic Director, Head of Service or Borough Solicitor; or

3.1.2 by any other officer authorised by the Chief Executive, a Strategic Director, any Head of Service or the Borough Solicitor to take such action in their name or the sub-delegate's own name.

3.2 The Chief Executive, Strategic Directors, each Head of Service and the Borough Solicitor must prepare and maintain a Sub-Delegation Scheme setting out which officers have been authorised to make decisions under their delegated powers and subject to which terms and conditions. Sub-delegation Schemes must be lodged with the Democratic Services Manager.

3.3 Where delegations are to be exercised after consultation with the Leader, Portfolio Holder or nominated officers, the authorised officer will carry out the consultation and ensure that a record is made.

3.4 Where delegations are to be exercised after consultation with a Portfolio Holder and that Portfolio Holder is unavailable, consultation shall take place with the Leader.

3.5 Where delegations are to be exercised after consultation with other officers, if agreement between officers cannot be reached, the matter must be referred to the Chief Executive for consideration.

4. Chief Executive's Urgent Action

4.1 The Chief Executive is authorised to determine matters of an urgent nature within the remit of the Executive and which cannot wait for the next meeting of the Executive, which are not key decisions and which do not contravene established policies or budgets, after consultation with the Leader and relevant Portfolio Holder.

4.2 Any matters determined by the Chief Executive under 4.1 above will be reported to the next meeting of the Executive.

5. Schedule of Authorisations

5.1 A Schedule of Authorisations is attached at Appendix 1.

6. Amendments

6.1 Amendments to this Scheme will be approved by the Council with the following exceptions:

6.1.1 in respect of the officers designated to exercise delegated authorities where changes in the management structure and post titles have resulted from organisational restructures – by the Monitoring Officer.

6.1.2 updates to reflect new legislation where there is no extension to the limit of the existing delegation – by the Monitoring Officer.

7. Interpretation

7.1 Any reference to a statute or statutory instrument should be taken to include any subsequent statute or statutory instrument that replaces, amends or extends it, or contains related provisions.

7.2 The terms “officer”, “staff” or “employee” include any person employed by the Council irrespective of the particular terms and conditions under which they are employed.

7.3 Where a delegation is shown as being both an Executive and Non-Executive Function, advice should be sought from the Monitoring Officer regarding the individual circumstances.

Schedule of Authorisations

1. Legal Proceedings

- 1.1 In accordance with Article 14 of the Constitution the Borough Solicitor is authorised to institute, defend or participate in legal proceedings in respect of all functions of the Council.

2. Representing the Council in Legal Proceedings

- 2.1 The Borough Solicitor is authorised to appoint any appropriate officer to appear on behalf of the Council and to conduct legal proceedings or complete formal proof in court or tribunal. A record of those officers so appointed will be kept by the Borough Solicitor in accordance with the Sub-Delegation Scheme.

3. Land, premises, samples, records, articles, equipment or information

- 3.1 The Chief Executive, Strategic Directors, Heads of Service, and any other officer authorised by those officers, are authorised to
- 3.1.1 enter, visit or inspect premises,
 - 3.1.2 procure samples,
 - 3.1.3 inspect, seize, detain or destroy any goods, articles or equipment;
 - 3.1.4 inspect, seize and detain any records, including records held in electronic form;
 - 3.1.5 demand or require information in accordance with and as provided for by any legislation covering any Council function.
- 3.2 The Chief Executive, Strategic Directors, Heads of Service, Borough Solicitor and any other officer authorised by those officers, may apply to the Justices of the Peace to obtain warrants to enter premises as provided for by any legislation covering any Council function.
- 3.3 A record of any other officers authorised as set out above will be kept by the Chief Executive, Strategic Directors, Heads of Service or Borough Solicitor (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

4. Sealing of Documents

- 4.1 The Chief Executive, Strategic Directors, Borough Solicitor, Head of Policy and Governance and any lawyer employed by the Council are authorised to witness the sealing of Council documents.

5. Instruments of Appointment

- 5.1 The Chief Executive is authorised to issue Instruments of Appointment to the Head of Environmental Services as an inspector under the Health & Safety at Work Act 1974 and associated Acts together with all related Regulations and Orders specifying the powers exercisable, having regard to that inspector's qualifications and duties.
- 5.2 The Head of Environmental Services is authorised to issue Instruments of Appointment to an inspector under the Health & Safety at Work etc. Act 1974 and associated Acts together with all related Regulations and Orders specifying the powers exercisable having regard to that inspector's qualifications and duties.

6. Serving of Notices

- 6.1 The Chief Executive, Strategic Directors, Heads of Service, ~~or~~ Borough Solicitor and any other authorised officer employed by the Council are authorised to serve any statutory notices as provided for by any legislation covering any Council function. A record of any other officers so authorised will be kept by the Chief Executive, Strategic Directors, Heads of Service or Borough Solicitor (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

7. Cautions

- 7.1 The Chief Executive, Strategic Directors, Heads of Service and any other officer authorised by those officers, are authorised to act as Cautioning Officer in order to issue cautions to persons making a clear and reliable admission of an offence, where it is considered that the use of a formal caution is appropriate. A record of any other officers so authorised will be kept by the Chief Executive, Strategic Director or Head of Service (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

8. Appeals

- 8.1 Unless otherwise reserved to the Council, the Executive, a Committee or Sub-Committee, as set out in their Terms of Reference, the Chief Executive, Strategic Directors, Heads of Service and any other officer authorised by those officers, are authorised to hear appeals as provided for by any legislation covering any Council function and in accordance with Council Policies. A record of any other officers so authorised will be kept by the Chief Executive, Strategic Directors or Heads of Service (as appropriate) in accordance with the Sub-Delegation Scheme referred to in the General Principles.

9. Consultant in Communicable Diseases Control

9.1 The Consultant in Communicable Diseases Control is authorised by the Council to carry out the following actions:

9.1.1 To apply to Justices for orders and certificates with a view to preventing spread of disease.

9.1.2 To apply to Justices for orders and certificates for the removal of aged or infirm persons to hospital, after consultation with the patient's General Practitioner and the Head of Environmental Services.

9.1.3 To serve notices relating to infected food and control of notifiable diseases.

The Council operates an 'Executive and Leader' model. Therefore the majority of the Council's functions (decision-making) lie with the Executive. However, a range of the Council's functions are 'non-Executive' meaning that those matters are determined either by full Council or by another Committee of the Council in accordance with the Council's Constitution. Where a Function is referred to below as 'Non-Executive', that function cannot be determined by the Executive and would, in the absence of delegation to an officer(s), be decided by full Council or the relevant Committee.

This Scheme of Delegation to Officers must therefore be read in conjunction with the Council's Constitution.

STATUTORY OFFICERS

HEAD OF PAID SERVICE

	Authority	Function
1.	<p>To make all decisions in relation to Human Resources functions in accordance with the Council's Policies and within budgetary provision, except (a), (b), (c), <u>and</u> (d), and (e) below which are reserved to Full Council:</p> <p>(a) the appointment of the Chief Executive or Strategic Directors and the statutory posts;</p> <p>(b) amendments to Terms and Conditions of Employment for Staff and Human Resources Policies where there are additional budget implications;</p> <p>(e) the adoption of the annual Pay Policy Statement;</p> <p>(e) the approval of and amendments to the Pension Policy Statement;</p>	Non-executive

	(de) the settlement of any staff pay award.	
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THE RETURNING OFFICER AND ELECTORAL REGISTRATION OFFICER

	Authority	After Consultation with	Function
2.	Within the approved budget, to approve scales of remuneration of persons employed on Borough and Parish Council elections.	Other local authorities in Surrey.	Non-executive
3.	To amend the designation of a Polling Place, where within six months of an election, (a) a designated polling place unexpectedly becomes unavailable; and (b) it is impractical to report to Council.	Ward councillors, local party agents and, if applicable, official candidates	Non-executive

THE MONITORING OFFICER

	Authority	Function
4.	The general delegations and authorisations for the Monitoring Officer are as set out in the Constitution at Article 12, the Members’ Code of Conduct Part 5 Section A, and the Monitoring Officer Protocol at Part 5, Section J.	Non-executive
5.	To appoint members to the Hearing Sub-Committee from the membership of the Standards Panel.	Non-executive
6.	<u>To amend any names and job titles within the Constitution and other constitutional documents resulting from reorganisation or changes in structure/position.</u>	<u>Non-executive</u>

THE SECTION 151 OFFICER

	Authority	Function
<u>7.</u> <u>6.</u>	The delegations and authorisations for the Section 151 Officer are as set out in the Constitution at Article 12, and the Financial Regulations	Executive

8. 7.	To include properties in the List of Assets of Community Value, and make decisions in relation to claims for compensation.	Executive
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CHIEF EXECUTIVE

Meetings, Members and the Constitution

	Authority	After Consultation with	Function
7.9.	LEFT BLANK^[c1] (UNUSED) To appoint members to the Independent Remuneration Panel.	Party Group Leaders. All decisions to be reported to all Members by email.	Non-executive
8.	In respect of ad hoc appointments during the year, to appoint or nominate members and representatives on outside bodies; to appoint trustees; and to identify the meetings of outside bodies which are an approved duty for paying allowances.	The party group leaders. All decisions to be reported to all Members by email.	Non-executive
9.	To make changes to the membership of any of the Council's Committees as necessary during the Council year in accordance with the wishes of the respective group leaders. Any changes made to be reported to the next meeting of the Council.	The party group leaders.	Non-executive

	Authority	Function
10.	To include <u>hear and determine appeals relating to applications to include</u> properties in the List of Assets of Community Value, and make decisions in relation to claims for compensation.	Executive

HEAD OF COMMUNITY SERVICES AND MAJOR PROJECTS

11.	To make all day-to-day management decisions relating to the Council's Leisure and Green Space functions.	Executive
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Community Safety

	Authority	Function
12.	To carry out the Council's functions and any actions authorised by the Community Incident Action Group, relating to anti-social behaviour, in accordance with the Anti-Social Behaviour Crime and Policing Act or subsequent legislation	Executive

Voluntary Organisations and Community Grants

	Authority	After Consultation with	Function
13.	To agree Service Level Agreements with voluntary organisations	The relevant Portfolio Holder	Executive

HEAD OF CUSTOMER AND CORPORATE SERVICES

	Authority	Function
14.	To make all day-to-day management decisions relating to the Council's functions as they relate to customer services.	Executive

Estate Management

	Authority	After Consultation with	Function
15.	To agree, with Laser , or any other supplier as agreed by the Council, changes to the cost of supplying gas and electricity services at different times within the contract period in accordance with the terms of the contract	The relevant Portfolio Holder	Executive
16.	To approve expenditure from the Repairs and Maintenance Fund on individual or related items up to £20,000.	The Leader or the appropriate Portfolio Holder	Non-executive or Executive as appropriate to the function being exercised

	Authority	Function
17.	To undertake all actions in relation to the administration of the Council's estate and property portfolio, and its interests in land or property, except the following matters which are reserved to the Executive: (a) Acquisitions or disposal of land or property, and interests in land or property, with a value in excess of £ 100 <u>250</u> ,000; (b) the grant or renewal of all leases in excess of 4 <u>25</u> years.	Executive

HEAD OF ENVIRONMENTAL SERVICES

Licensing

	Authority	Function
18.	<p>To make all decisions, take all actions and exercise all powers in respect of the Council's licensing functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's licensing functions:</p> <p>(i) the Public Health Acts 1875, 1936 and 1961 (as amended) (ii) the Pet Animals Act 1951 (as amended) (iii) Public Health (Control of Disease) Act 1984 (iv) Animal Boarding Establishments Act 1963 (v) Riding Establishments Acts 1964 and 1970 (vi) Dangerous Wild Animals Act 1976 (vii) the Local Government (Miscellaneous Provisions) Acts 1976 and 1982 (as amended), (viii) Breeding and Sale of Dogs (Welfare) Act 1990, Breeding of Dogs Act 1991 and Breeding of Dogs Act 1973 (ix) Prevention of Damage by Pests Act 1949 (x) the Scrap Metal Dealers Act 2013 (xi) Sunday Trading Act 1994 (xii) Town Police Clauses Act 1847 and 1889 (xiii) Guard Dogs Act 1975 (xiv) Animal Health Act 1981 (xv) Animal Health and Welfare Act 1984 (xvi) Control of Dogs Order 1992</p> <p>including but not limited to the following:</p> <p><i>A. Street trading</i> <i>B. Scrap metal dealers</i> <i>C. Animal boarding establishments, riding establishments, dog breeders, pet shops and dangerous wild animals</i> <i>D. Game dealers</i> <i>E. Tattooing, acupuncture, ear piercing, cosmetic piercing and electrolysis</i> <i>F. Sunday trading</i></p> <p>except for</p> <p>approval and amendments to policies relating to functions under the above legislation which is</p>	Non-executive

	reserved to the Licensing Committee;	
19.	On behalf of the Council as the Responsible Authority for Environmental Health and/or the Responsible Authority for Health and Safety, under the Licensing Act 2003, subsequent amendments and all relevant legislation and regulations: (a) to make a relevant representation (b) to apply for a review of a premises licence (c) to apply for a review of a club premises certificate	Non-executive
20.	On behalf of the Council as the Responsible Authority for Licensing under the Licensing Act 2003, subsequent amendments and all relevant legislation and regulations: (a) to make a relevant representation (b) to apply for a review of a premises licence (c) to apply for a review of a club premises certificate	Non-executive

Environmental Protection

	Authority	Function
21.	To make all decisions, take all actions and exercise all powers in respect of the Council's environmental protection functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's environmental protection functions: (i) the Control of Pollution Act 1974 (as amended) (ii) the Environmental Protection Act 1990 (iii) Dangerous Dogs Act 1991 (iv) Noise & Statutory Nuisance Act 1993 (v) the Environment Act 1995 (vi) the Noise Act 1996 (vii) the Dog (Fouling of Land) Act 1996 (viii) The Anti-Social Behaviour Crime and Policing Act 2014 and Anti-Social Behaviour Act 2003 (ix) Clean Neighbourhoods and Environment Act 2005 (x) the Health Act 2006 (xi) the Refuse Disposal (Amenity) Act 1978 (xii) Zoo Licensing Act 1981 (xiii) Water Industry Act 1991 (xiv) Environment Act 1995 (xv) Health Act 2006	Executive

	<p>(xvi) Sunday Trading Act 1994 (xvii) Clean Air Act 1993 (xviii) Pollution Prevention and Control (England & Wales) Regulations 2000 (as amended) (xix) Pollution Prevention and Control Act 1999 (xx) Criminal Justice and Public Order Act 1994 (xxi) Criminal Justice and Police Act 2001</p> <p>including but not limited to the following:</p> <p><i>A. Pollution control</i> <i>B. Air pollution control and clean air;</i> <i>C. smoke free premises</i> <i>D. Waste collection</i> <i>E. Recycling</i> <i>F. Controlled waste</i> <i>G. Contaminated land</i> <i>H. Statutory nuisance</i> <i>I. Litter</i> <i>J. Dangerous and Out of Control dogs</i> <i>K. Dog fouling</i> <i>L. Authorisations in relation to controlled processes</i> <i>M. High Hedges complaint</i> <i>N. Graffiti removal</i> <i>O. Noise nuisance</i> <i>P. Abandoned vehicles and other refuse</i> <i>Q. Prevention of crime and disorder</i> <i>R. Drug and alcohol abuse/misuse of substances</i></p> <p>except for</p> <p>the award of the Waste Collection and Recycling Contract which is reserved to the Executive.</p>	
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Environmental Health

	Authority	Function
22.	<p>To make all decisions, take all actions and exercise all powers in respect of the Council's environmental health functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's environmental health functions:</p> <p>(i) the Local Government Act 1972 (ii) the Local Government (Miscellaneous</p>	Executive

	<p>Provisions) Act 1976 (iii) the Public Health Act 1961 (iv) the Clean Neighbourhoods and Environment Act 2005 (v) the Prevention of Damage by Pests Act 1961 (vi) National Assistance Acts 1948 and 1951 (vii) Water Act 1989 (viii) Disability Discrimination Act 1995</p> <p>including but not limited to the following:</p> <p><i>(a) drains, private sewers, water closets or soil pipes</i> <i>(b) a satisfactory supply of wholesome water</i> <i>(c) the control rats and mice</i> <i>(d) filthy or verminous premises, articles or persons</i> <i>(e) the prevention and suppression of nuisances</i> <i>(f) emergency situations arising outside normal working hours.</i></p>	
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Food and Health & Safety

	Authority	Function
23.	<p>To make all decisions, take all actions and exercise all powers in respect of the Council's food safety and health & safety functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation and any associated regulations relating to the Council's food safety and health & safety and pesticides functions:</p> <p>(i) the European Communities Act 1972 (ii) the Food and Environment Protection Act 1985, (iii) the Food Safety Act 1990, (iv) the Health & Safety at Work etc. Act 1974, (v) Sunday Trading Act 1994, (v) Public health (Control of Diseases) Act 1984 (and regulations made thereunder (with the exception of those dealt with under the health protection regulations)) (vi) Game Act 1831 (vii) Sea Fisheries (Shellfish) Act 1967 (viii) Offices Shops and Railway Premises act 1963 (ix) Food and Environment Protection Act 1985</p>	Non-executive/Executive

Land Drainage

	Authority	Function
24.	To take all actions to alleviate flooding under the Water Management Acts, Water Act 1989 and Land Drainage Act 1991 relating to the Council's land drainage functions, except the following which are reserved to the Executive: (i) approval of the annual Drainage Works Programme; (ii) authority to submit funding bids for projects requiring external funding for drainage works on third party land where this would require the Council to part-fund from the Drainage Reserve	Executive

Car Parks

	Authority	Function
25.	To make all day to day management decisions relating to the management and use of the Council's car parks, except for the following which are reserved to the Council: (a) The adoption of and amendments to the Council's Car Parking Strategy; (b) The setting of off-street car parking charges.	Non-executive
26.	To make all decisions, take all actions and exercise all powers in respect of the Council's parking functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's parking functions: (i) Highways Act 1980 (ii) Road Traffic Regulation Act 1984 (iii) Road Traffic Regulation Act 1991 (iv) Traffic Management Act 2004	Executive

Emergency Planning

	Authority	Function
27.	To make all decisions, take all actions and exercise all powers in respect of the Council's functions as a Category 1 Responder in accordance with any one or number of the	Non-executive

	<p>following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's emergency planning functions as a Category 1 Responder:</p> <p>(i) Civil Contingencies Act 2004.</p>	
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HEAD OF FINANCE

Financial Management

	Authority	After Consultation with	Function
28.	To set the Council Tax Base.	The relevant Portfolio Holder	Executive
29.	To monitor and manage the revenue reserves and provisions of the Council, including the release of such funds within agreed policy guidelines.	Chief Executive and the relevant Portfolio Holder.	Executive

	Authority	Function
30.	To determine areas and levels of cover for insurance.	Executive
31.	To approve spending from the Insurance Fund in individual projects or a group of related projects or a group of related items up to a maximum of £20,000.	Executive
32.	To determine rates of interest payable under: (a) Public Health Acts and Housing Acts (rechargeable works executed by the Council) (b) Local Government (Miscellaneous Provisions) Act 1976 (dangerous trees and restoration of supplies).	Non-executive

Council Tax and NNDR

	Authority	Function
33.	To apportion rates under Section 44A of the Local Government Finance Act 1988, and any subsequent legislation, on premises partially unoccupied for short periods.	Executive
34.	To grant <u>determine</u> applications for <u>Discretionary Rate Relief and Discretionary Council Tax Reduction which meet in accordance with</u> the adopted <u>policy</u> criteria and <u>with discretion to grant applications which</u> can be funded <u>from</u> within the approved budget.	Executive

35.	To execute warrants of arrest for Council Tax and Non-Domestic Rate debts	Executive
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HEAD OF HOUSING OPERATIONS

Housing and Homelessness

	Authority	Function
36.	<p>To make all decisions, take all actions and exercise all powers in respect of the Council's housing and homelessness functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's housing and homelessness functions:</p> <ul style="list-style-type: none"> (i) Housing Act 1985 <u>(ii)</u> Local Government and Housing Act 1985 (ii)<u>(iii)</u> Local Government (Miscellaneous Provisions) Acts 1976 and 1982 (iii)<u>(iv)</u> Housing Act 1996 (iv)<u>(v)</u> Children Act 1989 (v)<u>(vi)</u> Regulation 3 of the Town and Country Planning (General) Regulations 1992 – except for the determination of planning applications which is reserved to the relevant Area or Joint Planning Committee (vi)<u>(vii)</u> Housing Act 2004 (vii)<u>(viii)</u> Anti-Social Behaviour, Crime and Policing Act 2014 (viii)<u>(ix)</u> Clean Neighbourhoods and Environment Act 2005 (ix)<u>(x)</u> Leasehold Reform, Housing and Urban Development Act 1993 (x)<u>(xi)</u> Leasehold Reform Act 1967 (xi)<u>(xii)</u> Housing and Planning Act 2016 (xii)<u>(xiii)</u> Homelessness Act 2002 (xiii)<u>(xiv)</u> Housing, Grants, Construction and Regeneration Act 1996 (xiv)<u>(xv)</u> Prevention of Damage by Pests Act 1949 (xv)<u>(xvi)</u> Public Health Acts 1936 and 1961 (xvi)<u>(xvii)</u> Caravan Sites and Control of Development Act 1960 <u>(xviii)</u> Environmental Protection Act 1990 <u>(xix)</u> Caravan Sites Act 1968 and Mobile Homes 	Non-Executive/Executive

	<u>Act 2013</u> <u>(xx) Protection from Eviction Act 1977</u> <u>(xxi) Criminal Law Act 1977</u> <u>(xxii) Building Act 1984</u> <u>(xxiii) Energy Act 2013</u> (xvii) <u>(xxiv) Energy Act 2011</u>	
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	Authority	After Consultation with	Function
37.	To review decisions taken under the Personal Files (Housing) Regulations Act 1989 concerning access to, or correction or erasure of information held in housing records of which a tenant (or member of his family) is aggrieved.	The relevant Portfolio Holder	Executive

	Authority	Function
38.	To make decisions under Access to Personal Files (Housing) Regulations 1989.	Executive
39.	To make decisions under the Rent Deposit Guarantee Scheme.	Executive

HEAD OF PLANNING

Development Control and Planning Policy

	Authority	After Consultation with	Function
40.	To determine major planning applications where these are resubmitted applications previously refused only for SPA reasons which are now resolved and where: (a) the application is not materially different from the original application; (b) the material considerations affecting the decision have not changed; (c) there is no request from a councillor to call in the application for determination by the Committee as set out in 3(b) below.	The Chairman of the Joint Planning Committee and Ward Councillors	Non-executive
41.	To agree variations to Section 106 legal agreements under the Town and Country Planning Act 1990 which relate to planning applications determined by the Area Planning Committees and Joint Planning Committee	The Chairman of the Joint Planning Committee and Ward Councillors	Non-executive

	Authority	Function
42.	To make all decisions, take all actions and exercise all powers in respect of the Council's Development Control <u>Management</u> , Planning Policy and Planning Enforcement functions in accordance with any one or number of the following legislation (and any regulations and/or statutory instruments made thereunder) and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's Development Control <u>Management</u> , Planning Policy and Planning Enforcement <u>and Building Control</u> functions: (i) Caravan Sites and Control of Development Act 1960 (ii) Countryside and Rights of Way Act 2000 (iii) Enterprise and Regulatory Reform Act 2013	Non-Executive/Executive

	<ul style="list-style-type: none"> (iv) Environment Act 1995 (v) Environmental Protection Act 1990 (vi) Growth and Infrastructure Act 2013 (vii) Housing Act 1996 (viii) Housing Act 2004 (ix) Human Rights Act 1998 (x) Infrastructure Act 2015 (xi) Local Democracy, Economic Development and Construction Act 2009 (xii) Local Government Act 2003 (xiii) Localism Act 2011 (xiv) Planning (Hazardous Substances) Act 1990 (xv) Planning (Listed Buildings and Conservation Areas) Act 1990 (xvi) Planning Act 2008 (xvii) Planning and Compensation Act 1991 (xviii) Planning and Compulsory Purchase Act 2004 (xix) Pollution Prevention and Control Act 1999 (xx) Town and Country Planning Act 1990 (xxi) Building Act 1984 (xxii) Self-build and Custom Housebuilding Act 2015 (xxiii) Neighbourhood Planning Act 2017 (xxi)(xxiv) Anti-Social Behaviour Act 2003 	
43.	<p>(A) to determine planning applications, applications for advertisement consent, non-material and minor material amendments applications, details to comply with conditions, variation/removal of condition applications, prior notifications/prior approval notices and certificates of lawfulness <u>(after consultation with the Borough Solicitor for applications of certificates of lawfulness)</u>;</p> <p>(B) to determine applications for listed building consent and to take action in relation to listed buildings and Conservation Areas;</p> <p>(C) to respond to consultations and notifications from other local authorities, public bodies, etc.;</p> <p>(D) to determine the need for an eEnvironmental Impact aAssessment and/or a Strategic Environmental Assessment;</p> <p>(E) to take direct action/seek injunctions in relation to breaches of planning control, remove unauthorised signage/advertisements and</p>	Non-executive

remedying the condition of land;

(F) to serve, amend, vary, withdraw, revoke any notices, permissions, certificates (as appropriate) (including but not limited to: enforcement notices, breaches of condition notices, temporary stop notices, stop notices, amenity notices, planning contravention notices, community infrastructure stop notices, certificates of lawfulness);

(G) the making and/or adoption of any plan for the purposes of neighbourhood planning

except:

(a) planning applications that must be referred to the Joint Planning Committee in accordance with Part 3 of the Constitution and as set out below:-

(a.1) To review all housing schemes which propose a net increase of more than 25 dwellings, where the recommendation is for permission to be granted;

(a.2) To consider applications defined as major, as defined by the Government (10 or more units of residential accommodation, more than 1,000 sqm commercial floorspace and site area of more than 1 hectare)

and which, in the judgement of the Chief Executive, after consultation with the Head of Planning and the appropriate Area Planning Committee Chairman, meet the following criteria

- i. have a significant planning impact beyond the Area in which they are situated, and/or
- ii. are of strategic importance, and/or
- iii. involve new planning issues for the Borough; or

(a.3) where within three weeks of the application appearing on the Waverley weekly list of planning applications, the Chairman and Vice-Chairman of the relevant Area Planning Committee and the ward member request that it be referred to the Joint Planning Committee and put forward relevant

grounds as set out in c (i) to (iii) above, the Chief Executive and Head of Planning will normally submit the application to the Joint Planning Committee;

(b) any planning application where, within three weeks of the publication of the weekly list of planning applications, any councillor from the relevant Area Committee (for the Committee including all or part of the planning application site concerned) makes a request to the Head of Planning (or any officer(s) nominated by them), which may or may not be supported in writing by the relevant Town or Parish Council, putting forward matters that are relevant planning grounds, to refer an application to the next appropriate meeting of the Area or Joint Planning Committee for determination;

~~(c) any planning application where, within three weeks of the publication of the weekly list of planning applications, any councillor from the relevant Area Committee (for the Committee including all or part of the planning application site concerned) makes a request to the Head of Planning (or any officer(s) nominated by them), putting forward matters that are relevant planning grounds, to refer an application to the next appropriate meeting of the Area or Joint Planning Committee for determination.~~

(For (b) ~~and (c)~~ above) Where the three-week call-in period has expired, but the Head of Planning is satisfied that the circumstances are so exceptional there is sufficient justification on planning grounds and the application has not been determined, they may, after consultation with the Chairman of the relevant Committee, arrange for that application to be referred to the Committee;

~~(d)~~ (c) any planning application submitted by or on behalf of a serving Councillor or employee of the Council, or the spouse or partner of any of the above persons;

(e ~~d~~) any planning application where an objection from a statutory consultee remains unresolved and the officer's recommendation is to approve the application;

	<p>(f)e) any planning application where the Council is the applicant;</p> <p>(g)f) any planning application which is required to be referred to the Secretary of State;</p> <p><u>(g) planning applications, where by the time of the expiry of the 21 day consultation period, have received 5 or more letters of objection or 5 or more letters of support (but not a combination of both) shall only be determined under delegated powers after the Head of Planning (or any officer nominated by them) has received written confirmation from the relevant ward members(s) that they do not wish the matter to be determined by the relevant Planning Committee.-</u></p>	
44.	<p>To take action, make decisions <u>(including determining applications for works to protected trees and prior notifications of the intention to carry out works to trees in conservation areas)</u>, serve notices and carry out works relating to trees pursuant to the Town and Country Planning Act 1990, the Hedgerow Regulations 1997 and Section 23 of the Local Government (Miscellaneous Provisions) Act 1976, and any Regulations made in relation to this legislation or any amendments,</p> <p>except</p> <p>the confirmation or revocation of a tree preservation order where objections have been received which is reserved for decision by the Area Planning Committee.</p>	Non-executive
45.	<p>To agree the terms of, and variations to, Section 106 Legal Agreements under the Town and Country Planning Act 1990 in connection with the grant of planning permission under delegated powers and Section 106 agreements following a refusal on appeal.</p>	Non-executive

Licensing

	Authority	Function
46.	<p>Under the Licensing Act 2003:</p> <p>(a) to respond to the Licensing Authority in connection with consultations on applications on</p>	Non-executive

	behalf of the local planning authority; (b) to apply for a review of a premises licence or a club premises certificate.	
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Street Naming and Numbering

	Authority	After Consultation with	Function
47.	To determine the names of highways under the Public Health Act 1925 <u>(including subsequently amending and replacement legislation)</u> .	The appropriate Portfolio Holder and appropriate ward councillors	Executive

	Authority	Function
48.	To exercise the street numbering function under the Public Health Act 1925 <u>(including any subsequent amending and replacement legislation)</u> .	Executive

Building Control

	Authority	After Consultation with	Function
49.	To adjust Building Control charges appropriately to ensure income will cover the cost of the chargeable service	The relevant Portfolio Holder	Executive

	Authority	Function
50.	To exercise the Council's powers in relation to Building Control legislation, including, but without prejudice to the generality of the foregoing, the determination of applications for the approval of plans and specifications, applications for relaxations, the issue and service of all Notices, the making of requirements and the decision to carry out works in default.	Executive
51.	To exercise the powers and duties of the Council, including the recovery of costs, relating to dangerous, dilapidated or defective buildings or structures, hoardings, scaffolding and the demolition of buildings	Executive

HEAD OF POLICY AND GOVERNANCE

Members Meetings and the Constitution

	Authority	After Consultation with	Function
52.	To approve the annual timetable of meetings of the Council and Standing Committees.	The Leader	Non-executive
<u>52A7.</u>	<u>To appoint members to the Independent Remuneration Panel.</u>	<u>Party Group Leaders.</u> <u>All decisions to be reported to all Members by email.</u>	<u>Non-executive</u>
<u>52B8.</u>	<u>In respect of ad hoc appointments during the year, to appoint or nominate members and representatives on outside bodies; to appoint trustees; and to identify the meetings of outside bodies which are an approved duty for paying allowances.</u>	<u>The party group leaders.</u> <u>All decisions to be reported to all Members by email.</u>	<u>Non-executive</u>
<u>52C_[c2].</u>	<u>To make changes to the membership of any of the Council's Committees as necessary during the Council year, in accordance with the wishes of the respective group leaders. Any changes made to be reported to the next meeting of the Council.</u>	<u>The party group leaders</u>	<u>Non-executive</u>
53.	The determination of applications for street collections to provide funding to meet a major local, national, or international disaster.	The Chairman of the Licensing and Regulatory Committee.	Non-executive
54.	On behalf of the Council, to submit objections, and pursue such objections at a public inquiry if needed, to: (a) applications for operators licences (including variations) made under the Goods Vehicles (Licensing of	The appropriate ward councillors.	Non-executive

	Operators) Act 1995; and (b) reviews undertaken of existing licences.		
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Communications and PR

	<u>Authority</u>	<u>Function</u>
556.	<u>To make all day to day management decisions relating to the Council's functions as they relate to communications and public relations.</u>	<u>Executive</u>

Licensing

	Authority	Function
565.	<p>To make all decisions, take all actions and exercise all powers in respect of the Council's licensing functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's licensing functions:</p> <ul style="list-style-type: none"> (i) the Local Government (Miscellaneous Provisions) Act 1976; (ii) the Town Police Clauses Act 1847; (iii) the Local Government (Miscellaneous Provisions) Act 1982; (iv) The Regulatory Reform Act 2001 (v) Licensing Act 2003; (vi) the Gambling Act 2005 <p>and any subsequent legislation to take all actions relating to the Council's licensing functions, including but not limited to the following:</p> <p><i>A. Personal, premises, club premises licences and Temporary Event Notices</i></p> <p><i>B. Hackney carriage drivers and vehicles, and private hire drivers, vehicles and operators (except any applications that reveal convictions for offences that might affect the suitability of a person to hold a licence)</i></p> <p><i>C. House to house and street collections</i></p> <p><i>D. Club gaming/club machine permits and small society lotteries</i></p> <p><i>E. Sexual Entertainment Venues</i></p> <p>except for</p>	Non-Executive

	<p>(a) approval of and amendments to the Statement of Licensing Policy and the Gambling Policy which are reserved to Council;</p> <p>(b) approval and amendments to policies relating to functions under the Acts set out above which is reserved to the Licensing and Regulatory Committee;</p> <p>(c) approval of increases in the hackney carriage fare scale and amendments to the scale of charges for hackney carriage and private hire licence fees, which is reserved to the Licensing and Regulatory Committee;</p> <p>(d) the designation of and amendments to hackney carriage vehicle ranks which is reserved to the Licensing and Regulatory Committee;</p> <p>(e) the power to make an Order identifying a place as a designated public place for the purposes of police powers in relation to alcohol consumption which is reserved to the Licensing and Regulatory Committee;</p> <p>(f) all applications and appeals reserved to the Licensing Sub Committee as set out in its Terms of Reference at Part 3, Section E of the Constitution.</p>	
56.	To make all day to day management decisions relating to the Council's functions as they relate to communications and public relations.	Executive

Closure of Streets

	Authority	Function
57.	To make and issue temporary street closures orders in connection with special events in accordance with Section 21 of the Town Police Clauses Act 1847.	Executive

HEAD OF STRATEGIC HOUSING AND DELIVERY

	Authority	Function
58.	<p>To make all decisions, take all actions and exercise all powers in respect of the Council's housing and homelessness functions in accordance with any one or number of the following legislation and/or any adopted policy(ies) of the Council and/or any other subsequent new or replacing legislation relating to the Council's housing and homelessness functions:</p> <p><u>(i) Housing Act 1985</u> (i)<u>(ii) Local Government (Miscellaneous Provisions) Acts 1976 and 1982</u> (ii)<u>(iii) Local Government and Housing Act 1985</u> (iii)<u>(iv) Housing Act 1996</u> (iv)<u>(v) Children Act 1989</u> (v)<u>(vi) Regulation 3 of the Town and Country Planning (General) Regulations 1992 – except for the determination of planning applications which is reserved to the relevant Area or Joint Planning Committee</u> (vi)<u>(vii) Housing Act 2004</u> (vii)<u>(viii) Anti-Social Behaviour, Crime and Policing Act 2014</u> (viii)<u>(ix) Clean Neighbourhoods and Environment Act 2005</u> (ix)<u>(x) Leasehold Reform, Housing and Urban Development Act 1993</u> (x)<u>(xi) Leasehold Reform Act 1967</u> (xi)<u>(xii) Housing and Planning Act 2016</u> (xii)<u>(xiii) Homelessness Act 2002</u> (xiii)<u>(xiv) Housing, Grants, Construction and Regeneration Act 1996</u> (xiv)<u>(xv) Prevention of Damage by Pests Act 1949</u> (xv)<u>(xvi) Public Health Acts 1936 and 1961</u> (xvi)<u>(xvii) Caravan Sites and Control of Development Act 1960</u> (xvii)<u>(xviii) Environmental Protection Health Act 1990</u> <u>(xix) Public Health (Control of Disease) Act 1984</u> <u>(xx) Caravan Sites Act 1968 and Mobile Homes Act 2013</u> <u>(xxi) Protection from Eviction Act 1977</u> <u>(xxii) Criminal Law Act 1977</u> <u>(xxiii) Building Act 1984</u></p>	Non-Executive/Executive

	(xxiv) Energy Act 2013 (xxv) Energy Act 2011	
59.	To evaluate opportunities to buy back all or part of a former Council property (to include determining right of first refusal and percentage of ownership).	Executive
60.	To proceed to initial assessment of buy back or open market purchase if the opportunity meets the Council's criteria/policy.	Executive
61.	To proceed to initial assessment for a land purchase if the opportunity meets the Council's criteria/policy	Executive

	Authority	After Consultation with	Function
62.	To proceed to initial assessment of buy back or open market purchase if the opportunity does not meet the Council's criteria/policy	Portfolio Holders on the Housing Delivery Board	Executive
63.	To proceed to initial assessment for a land purchase if the opportunity does not meet the Council's criteria/policy	Portfolio Holders on the Housing Delivery Board	Executive
64.	To proceed to negotiation stage of buy back or open market purchase if the purchase price falls within overall budget	Portfolio Holders on the Housing Delivery Board	Executive
65.	To negotiate on price of buy back or open market purchase	Strategic Director and Estates and Valuation Manager	Executive
66.	To proceed with buy back or open market purchase if within budget or purchase price and works are needed	Portfolio Holders on the Housing Delivery Board	Executive
67.	To negotiate on land purchases	Strategic Director and Estates and Valuation Manager	Executive
68.	To proceed with land purchase if within agreed financial limits	Portfolio Holders on the Housing Delivery Board	Executive

Private Sector Housing

	Authority	After Consultation with	Function
69.	To determine the charges for default works undertaken pursuant to the Public Health and Housing Acts and the clearance of private drains and private sewers, all in respect of Environmental Protection legislation.	The relevant Portfolio Holder	Executive

	Authority	Function
70.	To undertake all actions relating to the Council's functions under the Housing Grants, Regeneration and Construction Act 1996 and any subsequent legislation, including but not limited to the following: (a) Disabled facilities grants (b) Renovation grants (c) common parts grants, (d) house in multiple occupation grants (e) major repair grants	Executive
71.	To undertake all actions relating to the Council's functions under the Regulatory Reform (Housing Assistance) (England & Wales) Order 2002 for the securing of loans given for the improvement or repair of dwellings as a formal Land Charge.	Executive
72.	To undertake all actions relating to the Council's functions under the Housing Acts and any subsequent legislation regard to unfit housing and houses in multiple occupation.	Executive
73.	To administer and approve loans under the Flexible Improvement Loans Scheme.	Executive

Housing and Homelessness

	Authority	Function
74.	To make decisions to depart from the Council's Allocations Policy in special needs circumstances.	Executive
75.	To review decisions under the Allocation of Housing and Homelessness (Review Procedures) Regulations 1999.	Executive

Burial or Cremation

	Authority	Function
76.	To arrange the burial or cremation of persons for whom no other suitable arrangements for the disposal of their remains have been made (under Section 46 of the Public Health (Control of Disease) Act 1984) and any necessary administration of the deceased's estate in liaison with the Treasury Solicitor.	Executive

BOROUGH SOLICITOR

Data Protection Act 1998

	Authority	Function
77.	To waive in cases of hardship the subject access fee allowed for under the Data Protection Act 1998.	Executive
78.	To evaluate opportunities to buy back all or part of a former Council property (to include determining right of first refusal and percentage of ownership).	Executive

Regulation of Investigatory Powers Act 2000

	Authority	After Consultation with	Function
79.	To amend the Council's Regulation of Investigatory Powers Act 2000 Policy and Procedure, to reflect changes to the legislation or for better performance of the Policy.	The relevant Portfolio Holder and the Leader	Executive
80.	To add to, or delete from, the list of authorised officers in the Council's Policy and Procedure pursuant to the Regulation of Investigatory Powers Act 2000.	The relevant Portfolio Holder and the Leader	Executive

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WAVERLEY BOROUGH COUNCIL

EXECUTIVE

6 FEBRUARY 2018

Title:

**PROCUREMENT APPROACH FOR PROVISION OF WASTE, RECYCLING, STREET
CLEANING AND GROUNDS MAINTENANCE -
REPORT OF THE ENVIRONMENT OVERVIEW & SCRUTINY COMMITTEE**

[Portfolio Holder: Cllr Andrew Bolton]

[Wards Affected: All]

Note pursuant to Section 100B(5) of the Local Government Act 1972

(Exempt) Annexe 1 to this report (and the Appendices to that Annexe) contain exempt information by virtue of which the public is likely to be excluded during the item to which the report relates, as specified in Paragraph 3 of Part I of Schedule 12A to the Local Government Act 1972, namely:-

Information relating to the financial or business affairs of any particular person (including the authority holding that information).

Summary and purpose:

Waverley Borough Council's contracts for Waste and Recycling collection and Street Cleaning services, and for Grounds Maintenance services, end in October 2019. Both contracts were extended in 2012 and there is no provision for further extensions. Tendering for such high value and complex services can take up to 21 months, including a contractor mobilisation period.

The Environment Overview & Scrutiny Committee has carried out an in-depth review of the options for providing these services from the end of the current contracts in October 2019. The final report and recommendations of the Environment Overview & Scrutiny Committee is attached at (Exempt) Annexe 1.

How this report relates to the Council's Corporate Priorities:

Community Wellbeing - These services are provided to every resident in the Borough on a weekly basis to ensure waste is removed efficiently and effectively, recycling is maximised and high standards of street cleanliness are maintained.

Environment - The effective management of waste, street cleaning and grounds maintenance will enhance and improve the local environment, and impact directly on positive perceptions of Waverley being a great place to live, work and visit.

Customer Service and Value for Money – The current Waste, Recycling and Street Cleaning, and Grounds Maintenance contracts together cost approximately £6.7m. In view of the financial pressures on the Council’s budgets in the medium-term, it is vital that Waverley achieves good value for money in future service delivery arrangements, balanced with maintaining the high standards of service experienced with the current arrangements.

Financial Implications:

Budgets for the four areas identified have been approved for the 2017/18 financial year as below, budgets for 2018/19 are not yet approved.

Waverley is facing increased budget pressure over the next three years therefore the Council is seeking to minimise this shortfall in the budget setting process through income generation and service efficiencies. Whilst there is a strategy to bridge the budget gap, it is important to control the Councils cost base so as to not increase the budget gap further.

	2017/18 Budgeted Cost £	2017/18 Budgeted Income £	2017/18 Net Budget £
Waste Collection	1,565,910	0	1,565,910
Recycling Collection	2,439,940	1,607,040	832,900
Street Cleaning	1,223,070	16,470	1,206,600
Grounds Maintenance	1,746,570	0	1,746,570

Further financial implications are set out within (Exempt) Annexe 1.

The cost of progressing the procurement of these services is contained within the 2017/18 budget.

Legal Implications:

The legal implications are set out within (Exempt) Annexe 1.

Equality Implications:

Waste and recycling, grounds maintenance and street cleaning are universal services which affect all households in the Borough. Any proposed changes to the service specification and service delivery will be the subject of an Equality Impact Assessment to analyse the potential impact on any of the protected characteristics groups and that any decisions are made with due regard to any potential impact.

Background

1. Waverley Borough Council’s contracts for Waste and Recycling collection and Street Cleaning services, and for Grounds Maintenance services, end in October 2019. Both contracts were extended in 2012 and there is no provision for further

extensions. Tendering for such high value and complex services can take up to 21 months, including a contractor mobilisation period.

2. In July 2017 the Environment Overview & Scrutiny Committee appointed a Member Working Group to consider the procurement approach for provision of Waste and Recycling, Street Cleaning and Grounds Maintenance services from the end of the current contracts in October 2019.
3. The Member Working Group has considered the design of the services, taking account of resident expectations and affordability; and the possible delivery models, taking account of the timetable for procurement, legal constraints, the state of the market for new contracts, potential for new partnership working arrangements, and affordability.
4. The conclusions and recommendations of the Member Working Group have been endorsed in full by the Environment Overview & Scrutiny Committee, and are presented in the attached EXEMPT report for consideration by the Executive.

Recommendation

The Executive is asked to consider the conclusions and recommendations of the Environment Overview & Scrutiny Committee on the procurement approach for the provision of Waste, Recycling, Street Cleaning and Grounds Maintenance services from the end of the current contracts in October 2019, as set out in the Executive Summary in Exempt Annexe 1.

The Executive is recommended to:

1. note the high standards of performance achieved under the current contracts for Waste Recycling, and Street Cleaning, and Grounds Maintenance.
2. endorse the recommendations in relation to the proposed service design for the services to be delivered from October 2019, as set out in paragraph 1.5 of the Executive Summary in Exempt Annexe 1.
3. agree the recommended approach to be taken to progress the three options for the procurement of services from October 2019, as set out in paragraph 1.6 of the Executive Summary in Exempt Annexe 1.
4. agree the delegation to the Strategic Director and Portfolio Holders for Environment and Finance to identify and action the most advantageous options for Waverley.

Background Papers

There are no background papers (as defined by Section 100D(5) of the Local Government Act 1972) relating to this report.

CONTACT OFFICER:

Name: Richard Homewood **Telephone:** 01483 523411
Head of Environmental Services **E-mail:** richard.homewood@waverley.gov.uk

By virtue of paragraph(s) 3 of Part 1 of Schedule 12A
of the Local Government Act 1972.

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